

# BROCHURE SUPPLEMENT

## (Part 2B of Form ADV)



R. TODD VINGERS, CFA  
Leeward Investments, LLC

One Boston Place  
201 Washington Street, 29<sup>th</sup> Floor  
Boston, Massachusetts 02108  
Telephone number: (617) 468-6700

Website address: [www.leewardinvest.com](http://www.leewardinvest.com)  
E-mail: [compliance@leewardinvest.com](mailto:compliance@leewardinvest.com)

March 1, 2022

This Brochure Supplement provides information about R. Todd Vingers and supplements the Leeward Investments, LLC (“Leeward”) Brochure. You should have received a copy of that Brochure. Please contact Leeward’s Chief Compliance Officer at [compliance@leewardinvest.com](mailto:compliance@leewardinvest.com) if you did not receive Leeward’s Brochure or if you have any questions about the contents of this supplement. Additional information about R. Todd Vingers is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The information in this Brochure has not been approved or verified by the SEC, the Massachusetts Securities Division or by any state securities authority.

## R. Todd Vingers, CFA

Year of Birth: 1966

### Educational Background and Business Experience

Education: University of St. Thomas BA  
University of Chicago Booth School of Business MBA

Professional Designation CFA (Chartered Financial Analyst)

The Chartered Financial Analyst (CFA) designation is a globally respected, graduate-level investment credential awarded by the CFA Institute. To obtain a CFA designation, a candidate must meet one of the following requirements: undergraduate degree and four years of professional experience involving investment decision-making, or four years qualified work experience and successfully complete three course examinations. The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession.

<u>Business Background</u>	Leeward Investments, LLC President/Member/Portfolio Manager	3/2022 - Present
	LMCG Investments, LLC Managing Director, Value Equities	7/2009 – 3/2022
	Lee Munder Investments, Ltd. Portfolio Manager	6/2002 – 7/2009

### Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Vingers has no reportable disciplinary information.

### Other Business Activities

None

### Additional Compensation

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups. Each of the Portfolio Managers is a Member of Leeward and thereby receives a portion of the overall profit of the firm as part of his ownership interests. Mr. Vingers may be occasionally provided with de minimus educational events, meals, and the like from service providers or third parties in the financial services industry. Such matters are not tied to services provided by Mr. Vingers and have minimal value.

### Supervision

R. Todd Vingers is President of Leeward Investments, LLC and is responsible for his own supervision. His activities are also reviewed by Leeward's Chief Operating Officer and Chief Compliance Officer. In addition, the activities of all supervised persons, including Mr. Vingers, are subject to Leeward's internal controls and oversight per the Adviser's Compliance Monitoring Program.

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JAY C. WILLADSEN, CFA  
Leeward Investments, LLC

One Boston Place  
201 Washington Street, 29<sup>th</sup> Floor  
Boston, Massachusetts 02108  
Telephone number: (617) 468-6700

Website address: [www.leewardinvest.com](http://www.leewardinvest.com)  
E-mail: [compliance@leewardinvest.com](mailto:compliance@leewardinvest.com)

March 1, 2022

This Brochure Supplement provides information about Jay C. Willadsen and supplements the Leeward Investments, LLC ("Leeward") Brochure. You should have received a copy of that Brochure. Please contact Leeward's Chief Compliance Officer at [compliance@leewardinvest.com](mailto:compliance@leewardinvest.com) if you did not receive Leeward's Brochure or if you have any questions about the contents of this supplement. Additional information about Jay C. Willadsen is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The information in this Brochure has not been approved or verified by the SEC, the Massachusetts Securities Division or by any state securities authority.

## Jay C. Willadsen, CFA

Year of Birth: 1971

### Educational Background and Business Experience

Education: Buena Vista University BA  
Indiana University MBA

Professional Designations CFA (Chartered Financial Analyst)

The Chartered Financial Analyst (CFA) designation is a globally respected, graduate-level investment credential awarded by the CFA Institute. To obtain a CFA designation, a candidate must meet one of the following requirements: undergraduate degree and four years of professional experience involving investment decision-making, or four years qualified work experience and successfully complete three course examinations. The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession.

<u>Business Background</u>	Leeward Investments, LLC Member/Portfolio Manager	3/2022 - Present
	LMCG Investments, LLC Portfolio Manager	10/2014 – 3/2022
	Senior Analyst	7/2009 – 10/2014
	Lee Munder Investments, Ltd. Analyst	3/2002 – 7/2009

### Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Willadsen has no reportable disciplinary information.

### Other Business Activities

None

### Additional Compensation

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups. Each of the Portfolio Managers is a Member of Leeward and thereby receives a portion of the overall profit of the firm as part of his ownership interests. Mr. Willadsen may be occasionally provided with de minimus educational events, meals, and the like from service providers or third parties in the financial services industry. Such matters are not tied to services provided by Mr. Willadsen and have minimal value.

### Supervision

Jay C. Willadsen serves as a Portfolio Manager at Leeward Investments, LLC. Mr. Willadsen reports to and is supervised by the Firm's President, R. Todd Vingers. The activities of all supervised persons, including Mr. Willadsen, are subject to Leeward's internal controls and oversight per the Adviser's Compliance Monitoring Program.